

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: TBA

Group Art Unit: 2874

Matthew J. Dejneka

Adam J. Ellison Dmitri V. Kuksenkov John D. Minelly

Serial No:

09/808.270

March 14, 2001

For:

Filed:

CLADDING-PUMPED 3-LEVEL FIBER

LASER/AMPLIFIER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97 - 1.98

Asst. Commissioner of Patents and Trademarks Washington, DC 20231

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,

ana Agon

Registration No. 33,468

Corning Incorporated

SP-TI-03-1

Corning, NY 14831

(607) 974-6574

Date: 5/24/0/

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Asst. Commissioner of Patents and Trademarks. Washington. D.C. 20231

Date of Deposit

Juliana Agon

Name of applicant, assignee, or

Registered Representative

Signatuŕ

Date of Signature

Revision: March 7, 2000

Document5



FORM PTO-1449 (MODIFIED)

LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANTS INFORMATION
DISCLOSURE STATEMENT

SP01-041

SERIAL NO.

09/808,270

APPLICANT Dejneka et al.

FILING DATE March 14, 2001

GROUP: 2874

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Sub- Class	Filing Date if Approp.
	AA	5,048,026	09/10/91	Shaw, et al	375	6	
	AB	6,031,849	02/29/00	Ball, et al	372	6	
-	AC	5,864,645	01/26/99	Zellmer, et al	385	126	
	AD	5,530,710	06/25/96	Grubb	372	6	
	AE	6,157,763	12/05/00	Grubb, et al	385	126	
	AF	5,920,582	07/06/99	Byron	372	6	
	AG	5,949,941	09/07/99	DiGiovanni	385	127	
	AH	5,533,163	07/02/96	Muendel	385	126	
	AI	4.815.079	03/21/89	Snitzer, et al	372	6	
	AJ	6.188,509 B1	02/13/01	Lee, et al	359	341	
	AK	6,192,179 B1	02/20/01	Berkey, et al	385	126	
	AL	6,128,430	10/03/00	Chu, et al	385	142	
	AM	6.104,733	08/15/00	Espindola, et al	372	6	
	AN	5,930,436	07/27/99	Okamura, et al	385	127	
	AO	4,439,007	03/27/84	Lazay, et al	350	96.30	
	AP	4.923.277	05/08/90	Okazaki, et al	350	96.29	
	AQ	4,691,990	09/08/87	Cohen	350	96.33	
	AS	Re. 35.946	11/03/98	Ainslie, et al	385	127	

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub- Class	Trans Yes	lation No
	AT	EP 1 076 249 A1	02/14/01	Europe	G02B 6/16			
<u>-</u>		OTHER ART (Including A	thor, Title, Date, Pertinen	t Pages, etc	c.)		
	AR							
	AK							

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.